

BIDDER/CONTRACTOR PACKAGE

- **Copy one of each page and give to prospective bidders.**



**PROPOSAL AND CONTRACT
(WHEN EXECUTED)**

**THIS PROPOSAL INCLUDES
INSTRUCTIONS TO BIDDERS**

A. DEPOSIT OF PROPOSALS.

All envelopes containing Bid proposals shall
be clearly marked "Bid Proposal for letting of
July 20, 2023."
DATE

SOMERSET BORO
MUNICIPALITY (NAME & TYPE)

Michele Enos
SECRETARY

Sealed Proposals will be received on or before
2:00 PM on the above Letting Date.
TIME

PO Box 71, Somerset, Pa 15501

ADDRESS

Bids will be opened and read at approximately
2:00 PM , on the above Letting Date.
TIME

**PROPOSALS MUST BE MAILED OR OTHERWISE
DELIVERED TO THE ABOVE ADDRESS.**

- 1 The contractor proposes to furnish and deliver all materials (including Form CS-4171, CERTIFICATE OF COMPLIANCE and/or CS-4171B DAILY BITUMINOUS MIXTURE CERTIFICATION) and to do and perform all work on the following project as more specifically set forth in the Schedule of Prices (Attachment), in accordance with drawings and specifications on file at SOMERSET BORO as well as the supplements and special requirements contained herein and/ or attached hereto and current PennDOT Specifications (Publication 408), except (a) bidders need not be prequalified by PennDOT (Sec.102.01), and (b) Volumetric testing of bituminous paving materials is not required (Sec. 413).
- 2 If designated as the successful bidder, the contractor will begin work on the date specified in the notice to proceed, or as otherwise provided in the special requirements, and will complete all work within see Attachment 1-A calendar days.
- 3 Accompanying this proposal is a certified check or bid bond in the amount of 10% made payable to the municipality as a proposal guarantee which, it is understood, will be forfeited in case the contractor fails to comply with the requirements of the proposal.

B. PROPOSAL OF:

NAME / ADDRESS OF CONTRACTOR

CONTRACTORS CERTIFICATION

It is hereby certified as follows:

- 1 The only person interested in the proposal as principal (s) is (are):

- 2 None of the above persons are employees of the municipality.
- 3 This proposal is made without collusion with any other person, firm or corporation.
- 4 All plans and specifications referred to above and the site of the work have been examined by the contractor. The contractor understands that the quantities indicated herein are approximate and are subject to change as may be required; and that all work is payable on the basis of the unit price listed on the Schedule of Prices. (Attachment 1).

- 5 The contractor will comply with all requirements of the laws and implementing regulations of the Commonwealth of Pennsylvania and the United States relating to human relations, equal opportunity and non-discrimination in employment, and will pay to workmen employed in the performance of the contract the wages to which they may be entitled.
- 6 The contractor will provide the municipality with a performance bond, conditioned upon the faithful performance of the contract in accordance with the plans, specifications and conditions thereof, and a payment bond, conditioned on the prompt payment of all material furnished and labor supplied or performed in the prosecution of the work, in accordance with the Public Works Contractors' Bond Law of 1967; and an affidavit accepting the provisions of the Workmen's Compensation Act of 1915, as amended.

CONTRACTOR

BY: _____ DATE: _____
TITLE: _____

WITNESSED OR ATTESTED BY: _____ DATE: _____
TITLE: _____

TO BE EXECUTED ONLY IN THE EVENT THE ABOVE PROPOSAL IS ACCEPTED

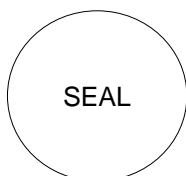
ACCEPTED ON : _____
DATE

**SOMERSET BORO
MUNICIPALITY**

BY: _____
TITLE: _____

BY: _____
TITLE: _____

BY: _____
TITLE: _____



ATTESTED BY: _____
TITLE: _____

ATTACHMENT 1

TO MS - 944 (PROPOSAL AND CONTRACT MS - 944)

County: SOMERSET

Municipality: SOMERSET BORO

Project Number: FIBER SEALCOAT

LOCATION OF WORK:
SEE WORKSHEETS

DESCRIPTION OF WORK:
FIBER REINFORCED SEALCOAT

ESCALATOR CLAUSE:(if adopted by Municipality.)
N / A

THIS PORTION TO BE COMPLETED
BY THE MUNICIPALITY

SCHEDULE OF PRICES

Item 1 No.	Approximate 2 Quantities	Unit 3	*Description 4	Unit 5 Price	Total 6
ITEM 1	11,432	S.Y.	FIBER REINFORCED SEALCOT		
				SUBTOTAL	

*** DESCRIPTION:**

Must include ADT on wearing surfaces
USE OF CUTBACK ASPHALT IS PROHIBITED
BETWEEN MAY 1st AND OCTOBER 31st, EXCEPT
AS NOTED IN BULLETIN NO. 25.
FOR OPTION OR PHASE BIDS THE TOTALS FOR
EACH MUST BE INCLUDED.

SUBTOTAL FROM OTHER ATTACHMENTS	
BID TOTAL FOR A NON OPTION / PHASE BID	
OPTION 1 OR PHASE 1 BID TOTAL	
OPTION 2 OR PHASE 2 BID TOTAL	
OPTION 3 OR PHASE 3 BID TOTAL	

**SPECIAL PROVISIONS TO CONTRACT MS-944 (Attachment 1-A)
CONTAINS IMPORTANT INFORMATION FOR THE CONTRACTOR**

The Prime Contractor and subcontractors must comply with all of the following provisions that are marked with an "X".

- X Traffic Control and Safety Devices to be provided by the Contractor.
(Maintenance and Protection of Traffic to comply with current MUTCD, Publication 212 and Publication 213.)
- X Delivery tickets for all materials.
- X CS-4171 Certificate of Compliance and/or CS-4171B Daily Bituminous Mixture Certification required for all materials.
- X Notify the Municipality five working days prior to start of project.
- X Work to be completed on or before 9/15/2023 . After 9/15/2023 Liquidated damages apply at the rate of \$ 975.00 per calendar day.
- X Roadway to be power broomed by (contractor X municipality)prior to start of project.
- X Excess material to be removed by (contractor X municipality .)
- X Municipality to inspect project.
- X Need Bill of Lading for each shipment of bituminous material per Section 702.1(c) of Specifications 408.
Tack Coat (TACK or CNTT/NTT) required per Section 460, or 413 for Superpave HMA/ WMA, of Specifications 408 and is incidental to paving item unless noted otherwise.
Prime Coat required per Section 461 of Specifications 408.
Bituminous Seal with PG 64S-22 oil required on all abutting pavement, curbs and centerline joints(if applicable).
Saw cut or Milled Paving Notches are required and are incidental to the paving item. This includes Paving Notches at all intersecting Public Streets, Private Drive Approahces, Parking Lots and Sidewalks.
Scratch/ Leveling Courses to be placed at the discretion of appointed inspector(s).
Full width pavement with one pass required.
- X Municipality reserves the right to limit work completed.
- X Provide design, which meets Specifications Form 408 to the municipality 5 days prior to start of work.
For FOB Source bids, hauling distance will determine selection of bid award.
Municipality reserves the right to procure material which best suits their requirements after all bids and items are reviewed.
Incidental Preparation and clean up required. (Project Construction Materials)
The Pennsylvania Prevailing Wage ACT applies to this project only if the bid amount exceeds \$100,000.00.
- X Contractor and subcontractors must comply with the Public Works Employment Verification Act of 2012.
- X Contractor responsible for defects that occur within one year of applications.
- X Contractor required to review proposed project with Municipality's Representative prior to bidding.
- X Oil Samples required from each distributor truck by contractor (1) one quart : A.M. & P.M. and witnessed by municipality and retained by municipality.(Oil samples must be placed in an approved type container that is compatible with oil sample.)
- X At least three random stone samples to be taken by contractor on project site witnessed by municipality and retained by municipality.
Specifications Form 408, Section 350, SUBBASE, 350.2 MATERIAL - Revise to read:(a) Aggregate - Provide material with a maximum absorption of 3.5% as determined by AASHTO T-85 and as specified in Section 703.2 and 703.5.
Complete all testing in accordance with Specification Form 408 Section 313/413 except for Superpave HMA/WMA volumetric testing.
- X Notice to Proceed will be the date of Contract acceptance.
- X Final Completion Certificate & Notice of Completion required.
- X Future award of Contract will be based on quality of work as determined by the municipality.
Contractor, notify all residents of pending work to be performed.
- X Contractor to supply aggregate sample bags and oil sample containers.
Prime coat with chips. Apply no.8 coarse aggregate at a rate of 10 to 15 lbs. per square yard to prevent tracking.
- X Widths vary. Cover existing pavement.

My signature signifies that I have read and understand the above special provisions to this contract, and by being authorized by this company to act as their authorized representative, and on their behalf hereby agree to adhere to any and all of the provisions pertaining to this contract.

Contractor's Representative	Date
Company	

Municipality's Representative	Date
SOMERSET BORO	
Municipality	

COUNTY		SOMERSET		MUNICIPALITY		SOMERSET BORO		PROJECT NUMBER FIBER SEALCOAT				
Work Description:												
COUNTY		SOMERSET		MUNICIPALITY		SOMERSET BORO		PROJECT #		FIBER SEALCOAT		
PRIME COAT/ SEAL COAT / SURFACE TREATMENT												
LOCATION OF WORK		FROM		TO		L E N G T H	W I D T H	SQ.YD. OR GAL. OF PRIME	REMARKS		MATERIAL TYPE	
MADISON AVE		STADIUM DR		A DISTANCE OF		30	28.9	96	WIDTH VARIES 60' TO 22'		FIBER REINFORCED SEALCOAT	
MADISON AVE		30' FROM STADIUM DR		A DISTANCE OF		2440	22	5,964			FIBER REINFORCED SEALCOAT	
MADISON AVE		2470' STADIUM DR		A DISTANCE OF		605	14	941			FIBER REINFORCED SEALCOAT	
DEER PATH LN		MADISON AVE		A DISTANCE OF		25	23.2	64	WIDTH VARIES 58' TO 14		FIBER REINFORCED SEALCOAT	
DEER PATH LN		25' FROM MADISON AVE		A DISTANCE OF		355	14	552			FIBER REINFORCED SEALCOAT	
OUTLOOK DR		MADISON AVE		A DISTANCE OF		30	26.4	88	WIDTH VARIES 55' TO 20'		FIBER REINFORCED SEALCOAT	
OUTLOOK DR		30' FROM MADISON AVE		A DISTANCE OF		705	20	1,567			FIBER REINFORCED SEALCOAT	
OUTLOOK DR		735' FROM MADISON AVE		A DISTANCE OF		280	16	498	WIDTH VARIES 15' TO 17'		FIBER REINFORCED SEALCOAT	
LANSBERRY CT		MADISON AVE		A DISTANCE OF		25	25.8	72	WIDTH VARIES 50' TO 20'		FIBER REINFORCED SEALCOAT	
LANSBERRY CT		25' FROM MADISON AVE		A DISTANCE OF		715	20	1,589			FIBER REINFORCED SEALCOAT	

PROPOSAL AND CONTRACT INSTRUCTIONS- FORM 944

- 1 The proposal must be typewritten or printed.
- 2 If more than one proposal on any project is submitted by an individual, firm or partnership, corporation or association under the same or different names, only one lowest proposal will be considered.
- 3 Description of Work- - -
 - A. If additional space is needed, insert appropriately numbered attachment and note "Continued on attached work sheets."
- 4 Part A of Page 1 to be completed by municipality. Part B of Page 1 to be completed by contractor. Schedule of Prices - Column #1 (Item), #2 (Approximate quantities), #3 (Unit, i.e., ton, square yard, linear feet, etc.) And #4 (Description, i.e., bituminous materials - 9.5 mm S & L, 12.5 mm Wearing, 25.0 mm Base Course, etc.) Must be filled in by the municipality to insure equitable bidding. Columns #5 (Unit Price), #6 (Total) and total amount of bid, must be filled in by the contractor. If more space is needed, add note at the bottom of the page; "Continued on Attachment No. 1-A", and add additional sheet designated as Attachment No. 1-A, 1-B, etc.. Repeat for each additional sheet required. As required by Publication 408, Section 102.06(e), each bidder must submit a completed Form 7126 - Anti-Collusion Affidavit with its bid proposal.
- 5 If liquidated damages are to be assessed, add the following sentence to Part A #2. If all work is not completed on time, liquidated damages will be assessed at the rate of \$885.00 per additional working day. (OR ". . . as set forth in the attached schedule.")
- 6 Payment and Performance bonds are provided only by the successful bidder. Contracts from \$4,000.00 up to \$5,000.00 in Second Class Townships - performance bond must be not less than 10% or greater than 100% of amount of contract. Contracts greater than \$1,500.00 up to \$10,000.00 in First Class Townships, Boroughs and Third Class Cities - bonds must be between 50 % to 100% of the contract amount. Contracts in excess of \$5,000.00 in Second Class Townships and in excess of \$10,000.00 in First Class Townships, Boroughs and Third Class Cities - bonds must be in 100% of the amount of the contract. Bond Forms MS-944 Attachments 2 and 3 and Workmen's Compensation Affidavit - Attachment 4 must be submitted by the successful bidder within 20 days of the contract award. Failure to submit the bonds shall constitute grounds to cancel the contract.
- 7 *Construction projects, where the estimated cost of the total project exceeds \$100,000.00, are subject to the provisions of the Pennsylvania Prevailing Wage Act 442. It is the responsibility of the municipality to obtain the Prevailing Wage Scale for the area and include it in the proposal. If the Prevailing Wage Act applies, this fact shall be noted in the advertisement.

On projects utilizing Federal Revenue Sharing Funds, if the project cost exceeds \$2,000 and is financed with 25% or more Federal Revenue Sharing Funds, the Davis Bacon Act applies. Again, it is the responsibility of the municipality to obtain the Davis Bacon Wage Rates, include them in the proposal and note the fact in the advertisement. If both acts are applicable, The Davis Bacon Act has preference over the Pennsylvania Prevailing Wage Act.
- 8 An ESCALATOR CLAUSE is optional; if used, it must be included in the proposal prepared by the municipality. An escalator clause may not be inserted by the contractor.

*(1961, Aug. 15, P.L. 987; 43 P.S. 165)



ANTI-COLLUSION AFFIDAVIT

County: _____

Municipality: _____

State of: _____

Project Number: _____

County of: _____

Fed. Project No. _____
(If Applicable)

The undersigned deponent deposes and says that they are the _____ of the _____ Company; that they are authorized to make this affidavit on behalf of said company in compliance with section 102.06 (e) of Department Specifications, Publication 408, as amended and that the said company has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of free competitive bidding in connection with such contract.

(Contractor)

BY

Sworn to and subscribed before me the undersigned notary public this

_____ day of _____, _____.

Notary Public

My Commission expires _____

PERFORMANCE BOND (With Corporate Surety)



KNOW ALL MEN BY THESE PRESENTS, That we,

(NAME AND ADDRESS OF CONTRACTOR)

as Principal and _____
(SURETY COMPANY)

a corporation incorporated under the laws of the State of _____ as Surety
(NAME OF STATE)

are held and firmly bound unto _____ in the full and just sum of
(NAME OF MUNICIPALITY)

_____ (\$ _____) dollars

lawful money to the United States of America, to be paid to the above Municipality or its assigns, to which payment well and truly to be made, we bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the above bounden Principal has entered into a contract with the above Municipality, bearing even date herewith, for the undertaking of certain obligations as therein set forth.

NOW, THEREFORE, the condition of this obligation is such that if the above bounden Principal, as Contractor, shall in all respects comply with and faithfully perform the terms and conditions of said Contract, including the Specifications and conditions referred to and made a part thereof, and such alterations as may be made in said Specifications as therein provided, and shall well and truly, and in a manner satisfactory to the municipality fulfill all obligations as therein set forth, then this Obligation shall be void, but otherwise the same shall be and remain in full force, virtue and effect.

It is further provided that any alteration which may be made in the terms of the contractor or its specifications with the express approval of the Municipality or the Principal to the other, shall not in any way release the Principal and the Surety or either or any of them, their heirs, executors, administrators, successors or assigns from their liability hereunder, notice to the surety of any such alteration or forbearance being hereby waived.

IN WITNESS WHEREOF, the said Principal and Surety have duly executed this Bond under Seal, pursuant to due and legal action authorizing the same to be done on _____
(DATE OF BOND)



Attest / Witness:

CONTRACTOR

BY

TITLE:

TITLE:



Attest / Witness:

SURETY COMPANY

TITLE:

TITLE:



KNOW ALL MEN BY THESE PRESENTS, that we

as **PRINCIPAL** and _____
 a corporation incorporated under the laws of the State of _____ as **SURETY**, are
 held and firmly bond unto the _____, in the full and just sum of
 _____ (\$ _____) dollars, lawful money of the
 United States of America, to be paid to the said _____ or its assigns, to which
 payment well and truly to be made, we bind ourselves, our heirs, executors, administrators,
 successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the above bounden Principal has entered into a contract with the above
 municipality hereinafter called Obligee, bearing even date herewith, for the improvement of a
 certain section of highway or bridge in said Municipality consisting of:

for approximately the sum of: _____ (\$ _____) dollars.

NOW, THEREFORE, the condition of this obligation is such that if the above bounden
PRINCIPAL shall and will promptly pay or cause to be paid in full all sums of money which may be
 due by contract or otherwise, to any individual, firm, partnership, association or corporation, for all
 material furnished or labor supplied or performed in the prosecution of the work, whether or not the
 said for material or labor entered into and became component parts of the work and for rental of the
 equipment used and services rendered by public utilities in, or in connection with the prosecution of
 such work, then this obligation to be void, otherwise to remain in full force and effect.

The **PRINCIPAL** and **SURETY**, hereby, jointly and severally, agree with the Obligee herein
 that any individual firm, partnership, association or corporation, which has performed labor or
 furnished material in the prosecution of the work as provided, and any public utility which has not
 been paid in full therefor, may sue in assumpsit on this Payment Bond in his, their, or its own name
 and may prosecute the same to final for such sum or sums as may be justly due him, them or it, and
 have execution thereon. Provided, however, that the Obligee shall not be liable for the payment of
 any costs of expenses of such suit.

RECOVERY by any individual, firm, partnership, association or corporation hereunder shall
 be subject to the provisions of the "Public Works Contractors' Bond Law of 1967", Act No. 385,
 approved December 20, 1967, P.L. 869, which Act shall be incorporated herein and made a part
 hereof, as fully and completely as though its provisions were fully and at length herein recited.

It is further provided that any alterations which may be made in the terms of the contract or
 in the work to be done or materials to be furnished or labor to be supplied or performed under it or
 the giving by the Obligee of any extension of time for the performance of the contract or any other
 forbearance on the part of either the Obligee or the Principal to the other, shall not in any way
 release the **PRINCIPAL** and the **SURETY** or **SURETIES** of any such alteration, extension of
 forbearance being hereby waived.

IN WITNESS WHEREOF, the said **PRINCIPAL** and **SURETY** have duly executed this Bond
 under seal this _____ day of _____, 20 ____.



WITNESS:

 CONTRACTOR

 TITLE:

BY:

 TITLE:



WITNESS:

 SURETY COMPANY

 TITLE:

 TITLE:



AFFIDAVIT RE

ACCEPTING PROVISIONS OF THE WORKMEN'S COMPENSATION ACT

State of _____)
)
) ss:
)
County of _____)

being duly sworn according to law deposes and says that they have he has
it has it has

accepted the provisions of the Workmen's Compensation Act of 1915 of the Commonwealth of Pennsylvania, with

has his
its supplements and amendments, and have insured their liability thereunder in accordance with the terms of said
its

Act with _____
(SURETY COMPANY)

(TYPE OR PRINT) CONTRACTOR

BY _____
SIGNATURE

Sworn to and subscribed before me this _____ day of _____ A.D. 20 ____.

SIGNATURE

My Commission Expires _____ (DATE)

PUBLIC WORK EMPLOYMENT VERIFICATION ACT

Provision Body

PUBLIC WORK EMPLOYMENT VERIFICATION ACT

General. In accordance with Act 127 of 2012, known as the Public Works Employment Verification Act (“the Act”), effective January 1, 2013, 43 P.S. §§167.1-167.11, use the Federal Government’s E-Verify system to ensure that all employees performing work on the project, including subcontractor’s employees, are authorized to work in the United States.

Verification Form. Verify the employment eligibility of each new employee hired after January 1, 2013 and submit the Commonwealth Public Works Employment Verification Form (“Form”) included in the bid package attachments to the Department.

Contractor. Fax the Form, signed by an authorized representative of the Contractor, possessing sufficient knowledge to make the representations and certifications on the Form, to Contract Awards at **xxx-xxx-xxxx** within 7 days of the bid opening. Failure or refusal to provide the Form will be considered a refusal to comply with bidding requirements, will result in rejection of the bid, and may subject the Contractor to the enforcement activities, sanctions and civil penalties specified in the Act.

Subcontractor. Obtain a form signed by an authorized representative of the subcontractor performing work on the project, possessing sufficient knowledge to make the representations and certifications on the Form. Submit it to the PennDOT Representative when requesting subcontractor approval. Failure or refusal to provide the Form will be considered a refusal to comply with subcontractor approval requirements, will result in rejection of the subcontractor request, and may subject the subcontractor to the enforcement activities, sanctions and civil penalties specified in the Act.

Include information about the requirements of the Act in all subcontracts.

Department of General Services. The Department of General Services is the Commonwealth agency responsible for enforcement and administration of the Act. Please direct questions about the Act to:

Department of General Services Public Works
Employment Verification Compliance Office
Room 105 Tent Building
18th and Herr Streets
Harrisburg, PA 17125
Fax: 717-214-3669



COMMONWEALTH OF PENNSYLVANIA

PUBLIC WORKS EMPLOYMENT VERIFICATION FORM

Date _____

Business or Organization Name (Employer) _____

Address _____

City _____ State _____ Zip Code _____

☐ Contractor ☐ Subcontractor (check one)

Contracting Public Body _____

Contract/Project No _____

Project Description _____

Project Location _____

As a contractor/subcontractor for the above referenced public works contract, I hereby affirm that as of the above date, our company is in compliance with the Public Works Employment Verification Act ('the Act') through utilization of the federal E-Verify Program (EVP) operated by the United States Department of Homeland Security. To the best of my/our knowledge, all employees hired post January 1, 2013 are authorized to work in the United States.

It is also agreed to that all public works contractors/subcontractors will utilize the federal EVP to verify the employment eligibility of each new hire within five (5) business days of the employee start date throughout the duration of the public works contract. Documentation confirming the use of the federal EVP upon each new hire shall be maintained in the event of an investigation or audit.

I, _____, authorized representative of the company above, attest that the information contained in this verification form is true and correct and understand that the submission of false or misleading information in connection with the above verification shall be subject to sanctions provided by law.

Authorized Representative Signature

_____
MUNICIPALITY**NOTICE OF COMPLETION****IN REFERENCE TO PROJECT #** _____**Name of Contractor** _____

Performance of work as specified on the above numbered contract is completed and final pavement inspection has been made by the contractor and municipality in accordance with the terms of the contract awarded.

DATE OF AWARD __________
Signature of Municipality_____
Signature of Contractor

Both copies of this form to be filled by the Contractor-Municipality on completion of final pavement restoration.

THIS PORTION TO BE COMPLETED BY MUNICIPALITY**FINAL COMPLETION CERTIFICATE**

By the affixing of my signature I hereby certify that final inspection has been made and all work has been performed in accordance with the above contract # and is hereby accepted by the municipality as completed.

Authorized Agent for the Municipality***DATE** _____

*** The contractor is responsible for maintenance of permanent pavement repairs for a period of one year from this date.**

CERTIFICATE OF COMPLIANCE

INSTRUCTIONS

Refer to Publication 2, Project Office Manual, Section B.6.3 for additional guidance in completing this Form.

1. COUNTY, LR/SR, SEC/SEG. ECMS#

To be completed by the party that will ship the material to the construction project, otherwise leave blank.

2. NAME OF MANUFACTURER, FABRICATOR, COATER, PRECASTER OR PRODUCER

- Check appropriate box; manufactured, fabricated, coated, precasted or produced as appropriate. If a single company performs more than one operation (e.g., a company manufactures and coats guiderail), more than one box may be checked.
- Also, provide the name and the supplier code of the manufacturer, fabricator, coater or precaster of the material listed in Bulletin #15 or the name and the supplier code of the Producer of material listed in Bulletin # 14, 41 or 42.
- To be completed by the party that is shipping approved material to the next destination.

3. MEETS SPECIFICATION REQUIREMENTS

To be completed by the party that is shipping approved material to the next destination.

4. SHIPPED TO

List the name of the company that material is being shipped to.

5. LOT NUMBER, QUANTITY, DESCRIPTION OF MATERIAL

To be completed by the party that is shipping approved material to the next destination.

6. CHECK THIS BLOCK IF YOUR PRODUCT CONTAINS IRON OR STEEL

To be completed by the party that is shipping approved material to the next destination.

7. VENDOR CLASSIFICATION (CHECK ONE BLOCK ONLY)

If you are a Manufacturer, Fabricator, Coater or Precaster listed in Bulletin #15, or a Producer listed in Bulletin # 14, 41 or 42, check block # 1.

If you are a *Distributor, *Supplier or *Private Label Company of Bulletin #15 items, check block # 2.

(* - These categories are not eligible for listing in Bulletin #15, however, you may provide material for PennDOT projects on condition that the material being shipped is listed in Bulletin #15.)

8. CERTIFICATION REQUIREMENTS, Name, Title, Date, Company Name, and Signature

Enter the required information and sign the Certificate of Compliance form.

9. COMPLETE LINE # 9 ONLY IF YOU CHECKED BLOCK # 2 ON LINE # 7, OTHERWISE LEAVE BLANK

List company that sold the material to you. (Company Name)

IN ADDITION:

- 2. & 5.** Private Label Companies who complete the Certificate of Compliance form CS-4171 must identify the true manufacturer (Line 2) and the approved material (Line 5) as it is listed in Bulletin # 15 under that manufacturers listing.

After completing the Certificate of Compliance form CS-4171, maintain the original at your company's location. A copy of the Certificate of Compliance form must accompany your material shipment to its next destination. Also, if you receive material shipments from other companies related to PennDOT projects, the accompanying Certificate of Compliance forms must be kept on file at your location. These files must be available for inspection and verification by a Department Representative for a period of not less than THREE years from the date of the last shipment.

CERTIFICATE OF COMPLIANCE

1. **◆COUNTY:** _____ **◆LR/SR:** _____ **◆SEC/SEG:** _____ **◆ECMS#:** _____
(◆ - To be completed by the party that will ship the material to the project, otherwise leave blank.)

2. I / WE hereby certify that the material listed on line 5 was:

If a single company performs more than one operation (e.g., a company manufactures and coats guiderail), more than one box may be checked.

☐ Manufactured ☐ Fabricated ☐ Coated ☐ Precasted ☐ Produced

By _____
(Name of Manufacturer, Fabricator, Coater, Precaster or Producer) (Supplier Code)

3. and the party listed above certifies that the material(s) on line 5 meets the requirements of

Publication 408, Section(s) _____

AASHTO, ASTM, Federal or other designation _____

4. The material listed below is being shipped to: _____
(Company Name)

5. LOT NO.	QUANTITY	APPROVED MATERIAL AS LISTED IN BULLETIN # 14 or 15 BULLETIN # 41 or 42 PRODUCERS, LIST HMA / PCC JMF.

6. ☐ **CHECK HERE IF YOUR PRODUCT CONTAINS IRON OR STEEL.** I certify the material identified above conforms to Sections 106.01 and 106.10(a) of Publication 408.

CHECK ONE OF THE TWO BOXES:

☐ Product is 100% US Steel. ☐ Product contains minimal foreign steel in accordance with Act 3 and Buy America Attach receipts verifying the cost of the product's foreign steel and domestic steel.

CHECK THE BOX THAT APPLIES TO YOUR PRODUCT:

- ☐ 'Identifiable Steel' - Steel products that contain permanent markings that identify that the material was melted and manufactured in the United States. **Only Form CS-4171 is required.**
- ☐ Steel Products with In-Plant Inspection by a Department Representative - Steel products and products containing steel which received in-plant inspection by the Department or Department representative where it was verified that the steel was melted and manufactured in the United States. **Only Form CS-4171 is required.**
- ☐ 'Unidentified Steel' - Steel products that do not meet the definition of "Identifiable Steel" and do not receive in-plant inspection as defined above. **Attach supporting documentation including invoices, bills of lading and mill test reports that positively identify that the steel was melted and manufactured in the United States.**

7. **VENDOR CLASSIFICATION (CHECK ONE BLOCK ONLY) -**

☐ #1 **Manufacturer, Fabricator, Coater, Precaster Listed in Bulletin # 15, or Producer Listed in Bulletin # 14, 41 or 42**

I certify that the above statements are true and to the best of my knowledge, fairly and accurately describe the product(s) listed.

☐ #2 **Distributor, Supplier or *Private Label Company Not Listed in Bulletin # 15. Also, complete line 9**

I certify that the material being supplied is one and the same as provided to us by the manufacturer listed on this document and quantities listed above are accurate.

8. **NAME (print) :** _____ **TITLE:** _____

COMPANY NAME : _____

SIGNATURE : _____ **DATE:** _____

By Responsible Company Official

9. List company that sold you the material(s) documented above: _____
(Complete if you checked Block # 2 on line # 7, otherwise leave blank.) (Company Name)



CERTIFICATE OF COMPLIANCE FOR DAILY BITUMINOUS MIXTURES

Plant: _____

Location: _____

Plant Code: _____

Job Mix Formula (Year-Number): _____ Material Class: _____

ESALS: _____ SRL: _____ PG Grade: _____

Publication 408, Section: _____

Consigned to:

☐ PennDOT Maintenance: _____ County: _____ P.O. No. _____☐ Contractor: _____ S.R.: _____ Section: _____

Group / P.O.C. No.: _____ ECMS No.: _____

☐ Municipality: _____ County: _____**Wearing Course:** _____ **Binder Course:** _____ **Base Course:** _____**Tons Shipped:** _____ **Daily AASHTO T209 Density:** _____

Test Results	%AC	Pass #8	Pass #200	Air Voids		VMA	
				Specimen #1	Specimen #2	Specimen #1	Specimen #2
Daily No. 1							
Daily No. 2							
Daily No. 3							
Daily Avg.							
Running Avg. of 5							

Please select the Payment Factor percentage below, based on Pub 408 Sec. 409.4(a) Table H:

- ☐ **100% Payment Factor:** The material as listed above shipped on this date conforms fully with the specification requirements of the Pennsylvania Department of Transportation. Our records, attesting to this statement, are open for inspection by a Department Representative for not less than THREE years from the date of shipment.
- ☐ **85% Payment Factor:** The material as listed above shipped on this date does not conform to the certification tolerances for 100% pay as listed in Pub 408 Sec. 409.2(e) Table A. A reduced pay factor has been applied and QC test results are being forwarded to the Inspector-in-Charge. Our records attesting to this statement are open for inspection by a Department Representative for not less than THREE years from the date of shipment.
- ☐ **Defective Pavement:** The material as listed above shipped on this date does not conform to the certification tolerances for 100% pay as listed in Pub 408 Sec. 409.2(e) Table A. QC test results are being forwarded to the Inspector-in-Charge which indicate material must be removed and replaced or accepted at 50% CUP with District Executive approval in writing. Our records attesting to this statement are open for inspection by a Department Representative for not less than THREE years from the date of shipment.

Name (print): _____ Title: _____

Signature: _____ Date Shipped: _____